Name: _______________________

Email: _______________________

Class: _______________________

Instructor: _______________________

Observation Day/Time (1st, 2nd, 3rd choice): _______________________

Child Care Licensing Request for Background Check

Use this form to request background checks required by Texas Administrative Code (TAC) §745.605. You can also submit background check requests through HHSC’s Child Care Provider website.

See the chart below for instructions based on operation type for submitting background check requests.

<table>
<thead>
<tr>
<th>If,</th>
<th>Then,</th>
</tr>
</thead>
<tbody>
<tr>
<td>Your operation is a licensed child care center, school-age program, before- or after-school program, licensed child care home, registered home or residential care provider,</td>
<td>your operation must submit background check requests via HHSC’s, Child Care Provider page.</td>
</tr>
<tr>
<td>Your operation is a listed family home, employer-based child care operation or shelter operation,</td>
<td>your operation may submit background check requests via HHSC’s Child Care Provider page, email the form to <a href="mailto:CBCUbackgroundchecks@dfps.state.tx.us">CBCUbackgroundchecks@dfps.state.tx.us</a>, fax the background check form to 512-339-5871, or mail the background check form to: HHSC, Centralized Background Check Unit, P.O. Box 149030, Mail Code 121-7, Austin, TX 78714-9030.</td>
</tr>
</tbody>
</table>

Directions: Complete the following information for each person required to have a background check. Download additional forms from the HHS forms website https://hhs.texas.gov/laws-regulations/forms.

**Operation Information**

<table>
<thead>
<tr>
<th>Operation Name</th>
<th>Operation No.</th>
<th>Operation Area Code and Telephone No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operation Address (Street, City, State, ZIP Code)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Operation Mailing Address (Street, City, State, ZIP Code)</td>
<td>County</td>
<td></td>
</tr>
</tbody>
</table>

**Verification Signatures**

I verified (by reviewing the person’s Social Security card or driver license) that the information on this form contains no willful misrepresentation, and that the information given is true and complete to the best of my knowledge. I understand that HHSC may contact others and, at any time, seek proof of any information contained here. I understand that any willful misrepresentation or failure to provide identifying information within the stated time limit is a cause for denial of the application or revocation of my license, registration, or listing.

*Printed Name of Director, Owner or Operator* ___________________________ *Signature of Director, Owner or Operator* ___________________________ *Date Signed* ___________________________
Individual’s Identifying Information

- Initial ☐ Renewal ☐ Fingerprint Check Required ☐ FBI Results in DPS Clearinghouse

First Name ☐ Middle Name ☐ Last Name

List any other names the individual uses or has used in the past, including married and maiden names, below. If you do not provide every name that the individual has used, you may receive inaccurate results.

Other First Names ☐ Other Middle Names ☐ Other Last Names

Address (Street, City, State, ZIP Code)

County ☐ Area Code and Telephone No. ☐ Date of Birth ☐ Gender:

- Male ☐ Female

List any other city in Texas where the person has been a resident and any addresses, including county, where the person has lived outside of Texas in the previous five years.

Ethnicity (must accompany race):

- Hispanic ☐ Non-Hispanic ☐ Asian ☐ Black ☐ White ☐ Native Hawaiian/Pacific Islander ☐ American Indian/Alaskan Native

Social Security No. ☐ Photo ID Type:

- Driver License: ☐ No. ☐ State ☐ Canadian SIN: ☐
- State ID: ☐ ☐ ☐ Military ID: ☐
- Passport: ☐ ☐ Permanent Resident Card: ☐

Contact information is required to schedule a fingerprint appointment. You must select one of the following choices and provide either an email address or phone number for the individual. Preferred method of contact for scheduling fingerprint appointment:

- Email ☐ ☐ ☐ Area Code and Telephone No. ☐

Please enter the person’s email address. Do NOT enter the operation’s email address. Providing an email address will allow notifications requiring action from this person to be received quickly.

Role at Operation:

- Adoptive Parent ☐ Contracted Service Provider ☐ Director ☐ Foster Parent ☐ Foster/Adoptive Parent
- Household Member ☐ Frequent/Regular Visitor ☐ Licensed Administrator ☐ Owner/Permit Holder
- Staff/Employee ☐ Unverified Respite Provider ☐ Volunteer

Job Duties/Title:

For foster/adoptive homes only: Relationship between child/children to be placed and the foster/adoptive parent(s) or prospective foster/adoptive parent(s):

- Relative ☐ Fictive Kin ☐ Unrelated

Will this person be supervised by a caregiver who is counted in the child-caregiver ratio?………………… ☐ Yes ☐ No
(The supervising caregiver should be an employee of your operation or a caregiver in a foster and/or adoptive home who is otherwise able to have unsupervised access to children in your care, and who is not restricted from supervising others.)

What age(s) of children will this person be caring for?

- 0 – 17 months ☐ 18 months – 2 years ☐ 3 years – 4 years ☐ 5 years – 13 years ☐ 14 years – 17 years
- Over 17 years ☐ N/A

Add Individual’s Identifying Information Sheet
Operational Discipline and Guidance Policy

This form provides the required information per Texas Administrative Code (TAC) minimum standards §744.501(7), §746.501(a)(7), and §747.501(5).

Directions: Parents will review this policy upon enrolling their child. Employees, household members, and volunteers will review this policy at orientation. A copy of the policy is provided in the operational policies.

Discipline and Guidance Policy

Discipline must be:

1) Individualized and consistent for each child;
2) Appropriate to the child’s level of understanding; and
3) Directed toward teaching the child acceptable behavior and self-control.

A caregiver may only use positive methods of discipline and guidance that encourage self-esteem, self-control, and self-direction, which include at least the following:

1) Using praise and encouragement of good behavior instead of focusing only upon unacceptable behavior;
2) Reminding a child of behavior expectations daily by using clear, positive statements;
3) Redirecting behavior using positive statements; and
4) Using brief supervised separation or time out from the group, when appropriate for the child’s age and development, which is limited to no more than one minute per year of the child’s age.

There must be no harsh, cruel, or unusual treatment of any child. The following types of discipline and guidance are prohibited:

1) Corporal punishment or threats of corporal punishment;
2) Punishment associated with food, naps, or toilet training;
3) Pinching, shaking, or biting a child;
4) Hitting a child with a hand or instrument;
5) Putting anything in or on a child’s mouth;
6) Humiliating, ridiculing, rejecting, or yelling at a child;
7) Subjecting a child to harsh, abusive, or profane language;
8) Placing a child in a locked or dark room, bathroom, or closet with the door closed or open; and
9) Requiring a child to remain silent or inactive for inappropriately long periods of time for the child’s age.

Additional Discipline and Guidance Measures (Only Applies to Before or After School Program (BAP)/School Age Program (SAP) that Operates under TAC Chapter 744)

A program must take the following steps if it uses disciplinary measures for teaching a skill, talent, ability, expertise, or proficiency:

• Ensure that the measures are considered commonly accepted teaching or training techniques;
• Describe the training and disciplinary measures in writing to parents and employees and include the following information:
  (A) The disciplinary measures that may be used, such as physical exercise or sparring used in martial arts programs;
  (B) What behaviors would warrant the use of these measures; and
  (C) The maximum amount of time the measures would be imposed;
• Inform parents that they have the right to ask for additional information; and
• Ensure that the disciplinary measures used are not considered abuse, neglect, or exploitation as specified in Texas Family Code §261.001 and TAC Chapter 745, Subchapter K, Division 5, of this title (relating to Abuse and Neglect).
Minimum Standards Related to Discipline

- Title 26, Chapter 746 Subchapter L:

- Title 26, Chapter 747 Subchapter L

- Title 26, Chapter 744 Subchapter G:
Affidavit for Applicants for Employment with a Licensed Operation or Registered Child-Care Home

An applicant for temporary or permanent employment with a licensed child care facility, licensed child placing agency or registered child care home whose employment or potential employment with the facility, agency, or home involves direct interaction with, or the opportunity to interact and associate with, children must execute and submit the following affidavit with the application for employment:

STATE OF
COUNTY OF ____________________________

I swear or affirm under penalty of perjury that I do not now and I have not at any time, either as an adult or as a juvenile:

1. Been convicted of;
2. Plead guilty to (whether or not resulting in a conviction);
3. Plead nolo contendere or no contest to;
4. Admitted;
5. Had any judgment or order rendered against me (whether by default or otherwise);
6. Entered into any settlement of an action or claim of;
7. Had any license, certification, employment, or volunteer position suspended, revoked, terminated, or adversely affected because of;
8. Resigned under threat of termination of employment or volunteerism for;
9. Had a report of child abuse or neglect made and substantiated against me for; or
10. Have any pending criminal charges against me in this or any other jurisdiction for;

Any conduct, matter, or thing (irrespective of formal name thereof) constituting or involving (whether under criminal or civil law of any jurisdiction):

1. Any felony;
2. Rape or other sexual assault;
3. Physical, sexual, emotional abuse and/or neglect of a minor;
4. Incest;
5. Exploitation, including sexual, of a minor;
6. Sexual misconduct with a minor;
7. Molestation of a child;
8. Lewdness or indecent exposure;
9. Lewd and lascivious behavior;
10. Obscene or pornographic literature, photographs, or videos;
11. Assault, battery, or any violent offense involving a minor;
12. Endangerment of a child;
13. Any misdemeanor or other offense classification involving a minor or to which a minor was a witness;
14. Unfitness as a parent or custodian;
15. Removing children from a state or concealing children in violation of a court order;
16. Restrictions or limitations on contact or visitation with children or minors resulting from a court order protecting a child or minor from abuse, neglect, or exploitation; or,
17. Any type of child abduction.

Except the following (list all incidents, locations, description, and date) [if none, write "None"]:
The failure or refusal of the applicant to sign or provide the affidavit constitutes good cause for refusal to hire the applicant.

Signature ___________________________ Date Signed __________

Subscribed and sworn to (or affirmed) before me this _________ day of ______________________, __________.

[ ] [ ]

Signature of Notary Officer: ___________________________

My commission expires: ___________________________

[ ] (Seal, if any, of notarial officer) [ ]
Personnel Records Evaluation – Child Care Centers

Child Care Licensing staff may use this to document evaluated personnel records at a licensed child care center.

**Directions:** Complete this form at the inspection to document records needed for compliance. Always review the director file, as well as the number indicated in the chart below. The section numbers below are from the Texas Administrative Code.

**Operation Name:**
**Date:**

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<th>No Records Available for review 746.901</th>
<th>Director:</th>
<th>Staff:</th>
<th>Staff:</th>
<th>Staff:</th>
<th>Staff:</th>
<th>Staff:</th>
<th>Staff:</th>
<th>Staff:</th>
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<tr>
<td>Employment begin and end date 746.901(1)</td>
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<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
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<tr>
<td>Age/Ed Qual 746.901(2), 1107 &amp; 1109</td>
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<td>No</td>
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<td>TB test 746.901(3) &amp; 1105</td>
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<td>Employment Affidavit 746.901(4)</td>
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<td>No</td>
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<td>Training Hours 746.901(5)</td>
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<td>Employee statement re: center policies 746.901(6)(A)</td>
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<td>Employee statement re: personnel policies 746.901(6)(B)</td>
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<td>Criminal History 746.901 (7)</td>
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<td>Copy of Photo ID 746.901(8)</td>
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<td>Copy of DL if transport 746.901(9)</td>
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<td>Orientation statement A/N training 746.901(10)</td>
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<td>Capacity</td>
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<table>
<thead>
<tr>
<th></th>
<th>Director:</th>
<th>Staff:</th>
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<td>746.801(5)</td>
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<td>Pediatric First Aid/CPR</td>
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